

1635

PTO/SB/21 (08-00) KAY

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 10-15-02

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	5	Attorney Docket Number	47508-518 (HYZ-030CPCN3)
--	---	------------------------	--------------------------

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> (Supplemental) Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<b>Also Enclosed:</b>	
	- PTO-1449	
	- 16 References	
	- Return Receipt Post Card	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ann-Louise Kerner, Ph.D. Reg. No. 33,523	RECEIVED
Signature	<i>Ann-Louise Kerner</i>	OCT 10 2002
Date	Oct. 4, 2002	TECH CENTER 1600/2900

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

4 Oct 2002

Typed or printed name	Stephanie R. Johnson
Signature	<i>Stephanie R. Johnson</i>
	Date 4 Oct 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Agrawal, <i>et al.</i>	GROUP:	1635
SERIAL NO.:	09/777,526	EXAMINER:	TBA
DOCKET NO.:	HYZ-030CPCN3 (47508.518)		
FILING DATE:	February 6, 2001		
TITLE	METHOD OF DOWN-REGULATING GENE EXPRESSION		

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants and their legal representatives hereby make of record the following references which are known by them and considered warranting disclosure under 37 C.F.R. § 1.56. The best available copies of all the references cited in the attached PTO Form 1449 are submitted herewith.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

As this Supplemental Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits, no fee is believed to be due in connection with this submission pursuant to 37 C.F.R. § 1.97(b)(3). However, the Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

RECEIVED

OCT 10 2002

TECH CENTER 1600/2900

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

HALE AND DORR LLP

**October 4, 2002**

*Ann Louise Kerner*

Ann-Louise Kerner, Ph.D.  
Registration No. 33,523  
Attorney for Applicants

60 State Street  
Boston, MA 02109  
(617) 526-6192  
(617) 526-5000 (fax)